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| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>                 STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary)<br>Sheet 1 of 9 | <b>Confirmation Number</b>    | 3249              |
|   | <b>Application Number</b>     | 10/823,416        |
|   | <b>Filing Date</b>            | 04-12-2004        |
|   | <b>First Named Inventor</b>   | Matthew R. Selmon |
|   | <b>Group Art Unit</b>         | 3767              |
|   | <b>Examiner Name</b>          | GRAY PHILLIP A.   |
|   | <b>Attorney Docket Number</b> | LUM5004USCNT3     |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant passages or<br>relevant figures appear |
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|                      |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |   |
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|                       |                |                    |            |
|-----------------------|----------------|--------------------|------------|
| Examiner<br>Signature | /Phillip Gray/ | Date<br>Considered | 03/25/2011 |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 9

|                        |                   |
|------------------------|-------------------|
| Confirmation Number    | 3249              |
| Application Number     | 10/823,416        |
| Filing Date            | 04-12-2004        |
| First Named Inventor   | Matthew R. Selmon |
| Group Art Unit         | 3767              |
| Examiner Name          | GRAY PHILLIP A.   |
| Attorney Docket Number | LUM5004USCINT3    |

## U.S. PATENT DOCUMENTS

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| Examiner Signature | /Phillip Gray/ |                      |                                   | Date Considered                                 | 03/25/2011                                       |   |

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|  | <b>Application Number</b>     | 10/823,416        |
|  | <b>Filing Date</b>            | 04-12-2004        |
|  | <b>First Named Inventor</b>   | Matthew R. Selmon |
|  | <b>Group Art Unit</b>         | 3767              |
|  | <b>Examiner Name</b>          | GRAY PHILLIP A.   |
|  | <b>Attorney Docket Number</b> | LUM5004USCNT3     |

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| Examiner<br>Signature | /Phillip Gray/           |                      |                                      | Date<br>Considered                                 | 03/25/2011   |   |

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| <b>Substitute for form 1445A/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary)<br><b>Sheet 4 of 9</b> | <b>Confirmation Number</b>    | 3249              |
|  | <b>Application Number</b>     | 10/823,416        |
|  | <b>Filing Date</b>            | 04-12-2004        |
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|  | <b>Group Art Unit</b>         | 3767              |
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| Examiner Signature | /Phillip Gray/        |                      |                                   |   | Date Considered                                  | 03/25/2011  |

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|  | <b>Application Number</b>     | <b>10/823,416</b>        |
|  | <b>Filing Date</b>            | <b>04-12-2004</b>        |
|  | <b>First Named Inventor</b>   | <b>Matthew R. Selmon</b> |
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|                      |                          |                      |                                      |  |  |   |

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 6 of 9

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|                      |                          | 6,638,247            | B1                                   | SELMON et al.                                      | 10-28-2003   |   |
|                      |                          | 6,719,725            | B2                                   | Milo et al.  | 04-13-2004   |   |
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|   | <b>Application Number</b>     | 10/823,416        |
|   | <b>Filing Date</b>            | 04-12-2004        |
|   | <b>First Named Inventor</b>   | Matthew R. Selmon |
|   | <b>Group Art Unit</b>         | 3767              |
|   | <b>Examiner Name</b>          | GRAY PHILLIP A.   |
|   | <b>Attorney Docket Number</b> | LUM5004USCNT3     |

# FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                       | Name of Patentee or<br>Applicant of Cited Document                | Date of<br>Publication of<br>Cited Document<br>mm-dd-yyyy | Pages, Columns,<br>Lines, where<br>relevant passages or<br>relevant figures<br>appear | T <sup>2</sup> |
|----------------------|--------------------------|-------------------------|---------------------|-----------------------|---|---|---|----------------|
|                      |                          | Office <sup>3</sup>     | Number <sup>2</sup> | KindCode <sup>5</sup> |   |   |   |                |
|                      |                          | DE                      | 2945237             | C2                    | Lymberopoulos, Stavros  | 09-11-1979  |   |                |
|                      |                          | DE                      | 4429117             | A1                    | Bess Medizintechnik GmbH  | 08-17-1994  |   |                |
|                      |                          | FR                      | 1585065             |                       | Societe Generale De<br>Constructions Electriques<br>et Mecaniques | 01-09-1970  |   |                |
|                      |                          | WO                      | 83/03188            |                       | LASERSCOPE, INC.  | 09-29-1983  |   |                |
|                      |                          | WO                      | 91/19528            |                       | SCHNEIDER INC.  | 12-26-1991  |   |                |
|                      |                          | WO                      | 92/08510            |                       | BOSTON SCIENTIFIC<br>CORPORATION                                  | 05-29-1992  |   |                |
|                      |                          | WO                      | 93/18818            |                       | THE SPECTRANETICS CORP.   | 09-30-1993  |   |                |
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|                      |                          | WO                      | 96/01590            | A1                    | RIZIK, DAVID G.   | 01-25-1996  |   |                |
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| Examiner<br>Signature | /Phillip Gray/ | Date<br>Considered | 03/25/2011 |
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|  | <b>Application Number</b>     | 10/823,416        |
|  | <b>Filing Date</b>            | 04-12-2004        |
|  | <b>First Named Inventor</b>   | Matthew R. Selmon |
|  | <b>Group Art Unit</b>         | 3767              |
|  | <b>Examiner Name</b>          | GRAY PHILLIP A.   |
|  | <b>Attorney Docket Number</b> | LUM5004USCNT3     |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                       | Name of Patentee or<br>Applicant of Cited Document | Date of<br>Publication of<br>Cited Document<br>mm-dd-yyyy | Pages, Columns,<br>Lines, where<br>relevant passages or<br>relevant figures<br>appear | T <sup>2</sup> |
|----------------------|--------------------------|-------------------------|---------------------|-----------------------|--|---|---|----------------|
|                      |                          | Office <sup>3</sup>     | Number <sup>1</sup> | KindCode <sup>5</sup> |  |   |   |                |
|                      |                          | WO                      | 97/27898            |                       | TRANSVASCULAR, INC.                                | 08-07-1997  |   |                |
|                      |                          | WO                      | 97/37581            |                       | ENDOSCOPIC TECHNOL.                                | 10-16-1997  |   |                |
|                      |                          | WO                      | 97/44083            |                       | SARCOS, INC.                                       | 11-28-1997  |   |                |
|                      |                          | WO                      | 98/08456            |                       | TRANSVASCULAR, INC.                                | 03-05-1998  |   |                |
|                      |                          | WO                      | 98/16161            |                       | TRANSVASCULAR, INC.                                | 04-23-1998  |   |                |
|                      |                          | WO                      | 98/46115            |                       | TRANSVASCULAR, INC.                                | 10-22-1998  |   |                |
|                      |                          | WO                      | 99/25273            |                       | TRANSVASCULAR INC.                                 | 05-27-1999  |   |                |
|                      |                          | WO                      | 99/48545            |                       | TRANSVASCULAR INC.                                 | 09-30-1999  |   |                |
|                      |                          | WO                      | 99/49793            |                       | TRANSVASCULAR INC.                                 | 02-03-2000  |   |                |
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|                      |                          | WO                      | 99/62430            |                       | TRANSVASCULAR INC.                                 | 12-09-1999  |   |                |
|                      |                          | WO                      | 00/09195            |                       | TRANSVASCULAR INC.                                 | 02-24-2000  |   |                |
|                      |                          | WO                      | 00/24449            |                       | TRANSVASCULAR INC.                                 | 05-04-2000  |   |                |
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| Examiner<br>Signature | /Phillip Gray/ | Date<br>Considered | 03/25/2011 |
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| Confirmation Number    | 3249              |
| Application Number     | 10/823,416        |
| Filing Date            | 04-12-2004        |
| First Named Inventor   | Matthew R. Selmon |
| Group Art Unit         | 3767              |
| Examiner Name          | GRAY PHILLIP A.   |
| Attorney Docket Number | 11M5004USCNT3     |

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| Examiner<br>Signature | /Phillip Gray/ | Date<br>Considered | 03/25/2011 |
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